



APEC2016秘鲁年
启动会专刊

APEC 海洋通讯

APEC海洋可持续发展中心

地址：福建省厦门市大学路178号 / 电话：0592-2195509

传真：0592-2195509 / Email: yangwei@tio.org.cn

网址：www.apecmsd.cn / 邮编：361005



APEC 海洋可持续发展中心

2016年2月

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主题：高质量增长与人类发展

重点议题

一、推动区域经济一体化与高质量的增长：

- 亚太自由贸易区；
- 实现茂物目标；
- 全球价值链；
- 贸易便捷化；

二、改善区域粮食市场：

- 可持续性；
- 市场准入；
- 投资和基础设施；
- 食品生产与贸易相关服务

三、推动亚太中小微企业现代化：

- 鼓励创新和中小企业之间的联合与合作；
- 通过生产性的基础设施建设促进中小企业的整合与发展；
- 将环保型中小企业整合入全球价值链；
- 制造业中小企业的国际化以及其在全球价值链中的切入点；

四、促进人力资本开发：

- 高等和技术教育；
- 就业能力与工作技能；
- 教育、科学、科技和创新能力

2015/ISOM/001rev1

议程草案

供参考
秘鲁提交

非正式高官会，秘鲁利马，2015 年 12 月 11 日

亚太经济合作组织非正式高官会议

2015 年 12 月 11 日
秘鲁利马

会议地点：万豪大酒店, 位于秘鲁利马市马雷贡大道 615 号

上午会议

开幕致辞 / 09:00 - 09:15

Luis Quesada 大使

(即将就任的 2016 年 APEC 高官会主席) 致开幕致辞

第一分会

马尼拉会议要求的执行情况 / 09:15 - 10:30

会议将讨论 APEC 领导人和部长在马尼拉会议中所做出的指示和任务在 2016 年的执行情况

讨论点:

- 任期即将结束的高官会主席将根据 2014 年亚太经济合作组织的任务声明汇报亚太经济合作组织在 2015 年取得的成就。
- 高官会将考虑 APEC 领导人和部长会议针对 2016 年及将来行动所做出的指示和任务，并讨论 APEC 在 2015 年的任务声明。

预期结果:

- 高官将听取即将辞任的高官会主席所做的报告。
- 高官会在如何最好的执行 2015 年的关键性成果和为 2016 年的工作提供指导方面达成共识。

高官会合影 / 10:30-10:35

茶歇 / 10:35-10:45

第二分会

亚太经济合作组织在 2016 年工作进展 / 10:45-12:15

亚太经济合作组织 2016 年在“高质量增长与人类发展”主题下的重点议题

讨论要点:

- 高官会将会在有关亚太经济合作组织 2016 年重点议题研讨上提出的观点交换意见。
- 高官会将会在以下方面讨论秘鲁提出的 2016 年亚太经济合作组织会议的中心主题和关键性重点议题:

主题: 高质量增长和人类发展

重点议题 1: 推动区域经济一体化与高质量的增长

重点议题 2: 改善区域粮食市场

重点议题 3: 推动亚太中小微企业现代化

重点议题 4: 促进人力资本开发

- 高官会将会对亚太经济合作组织茂物会议目标评估中的对话交换意见
- 即将就任的工商咨询理事会主席向高官会介绍工商咨询理事会在 2016 年的工作情况，并邀请高官会人员出席 2016 年第一次工商咨询理事会。

预期结果:

- 对 2016 年亚太经济合作组织的中心主题和关键性重点议题达成共识并给予支持
- 高官会在茂物会议目标评估的对话讨论中达成共识

第三分会

最新动态 / 12:15-12:45

讨论要点:

- 秘鲁工商咨询理事会将会向高官会介绍工商咨询理事会所执行的研究“亚太自由贸易协定机遇”

预期结果:

- 高官将了解工商咨询理事会的研究进展情况

高官会午餐 / 12:45-14:30

下午会议

第四分会

其他议题 / 14:30-15:30

讨论要点:

- 高官会修订 2016 年会议的时间表
- 高官会讨论 2016 年项目资助标准

预期结果:

- 高官会将完成 2016 年会议的时间表的讨论
- 高官会将核准 2016 年资助标准

文件分类 / 15:30-15:35

闭幕词 / 15:35-15:45

2015 年涉海领域后续任务清单

工作项目	工作重点	涉及经济体 / 经济组织	时间表
粮食安全、农业技术合作以及农业生物技术	在可恢复性海洋和海岸资源、鱼类损失降低以及农业经济发展等领域执行 APEC 粮食安全与蓝色经济行动计划的高层次政策对话中的相关规定，包括通过在食物价值链中降低浪费和损失、推动农业经济发展、市场发展以及开放和公平贸易来确保所有公民具有能够获得足够的食物，进而实现对小规模农业和渔业的一体化整合、推动渔业的从业人员加入到全球食品价值链中并改善海岸居民的生活质量	OFWG、PPFS 和相关经济体	2016 年及以后
海洋合作与蓝色经济	执行海洋与渔业工作组的粮食安全行动计划，并支持粮食安全政策伙伴关系机制的粮食安全和蓝色经济高级别政策对话会议行动计划。	部长会、OFWG、PPFS 和相关经济体	2016 年及以后
	继续落实厦门宣言，包括将从事与海洋相关的环境友好型经济活动作为海岸资源可持续发展的有效方式；鼓励进一步的跨界合作以推动蓝色经济合作的发展	OFWG、PPFS、CTI、SCE 和相关工作组	2016 年
	在海洋事务主流化指导委员会的框架下解决主流海洋相关议题，进而共同努力处理相关 APEC 工作组之间在海洋合作方面的跨领域问题；鼓励相关 APEC 工作组和经济体内具有领导性地位的经济体积极参与到管理委员会的会议之中并改善会议的沟通和协调机制	MOI SE、SCE、OFWG、PPFS、EWG、TWG、TPTWG、SCSC、EPWG、HRDWG 和相关经济体	2016 年

摘自 APEC 官网文件: 2015/ISOM/002/Session 1.2

注释: OFWG: 海洋与渔业工作组; CTI: 贸易与投资委员会; SCE: 经济技术合作高官指导委员会; PPFS: 粮食安全政策伙伴关系机制; EWG: 能源工作组; TPTWG: 交通运输工作组; HRDWG: 人力资源发展工作组; MOI steering council: 海洋事务主流化指导委员会; TWG: 旅游工作组; SCSC: 标准一致化分委会; HLPD-FSBE: 粮食安全和蓝色经济高级别政策对话会议行动计划

2016 年 APEC 重点议题研讨会会议程

秘鲁提交

关于 2016 年 APEC 重点议题研讨会
秘鲁利马，2015 年 12 月 10 日

2016 年亚太经济合作组织重点议题

秘鲁利马
2015 年 12 月 10 日

议 程

09:00-09:30	<p>开幕式</p> <p>Julio Eduardo Maritinetti 大使，秘鲁外务部副部长 Alan Bollard 博士，亚太经济合作组织行政秘书 Juan Francisco Raffo，亚太经济合作组织秘鲁商业顾问委员会成员</p>
09:30-10:00	<p>第一分会：亚太经济合作组织对于高质量增长和人类发展的贡献</p> <p>演讲者： Laura Q. Del Rosario，2015 年菲律宾高官会主席 Denis Hew，亚太经济合作组织政策支持部 Maria Eugenia Mujica，联合国开发项目组</p>
10:00-11:15	<p>第二分会：促进人力资本开发</p> <p>高等教育和技术教育，就业能力与工作技能，教育和研究基础设施</p> <p>主持人： Gustavo Adolfo Yamada，太平洋大学</p> <p>演讲者： Andreas Schaal，经济合作与发展组织 Fernando Villaran，鲁伊斯-德-蒙托亚安东尼奥大学 YoungHwan Kim，亚太经济合作组织教育研究学院院长</p>
11:15-11:30	<p>休息时间</p>

11:30-13:00	<p>第三分会：完善亚太经济合作组织粮食市场</p> <p>标准、协调、互认协议、基础设施、投资、贸易和市场准入、可持续性、农村开发和服务</p> <p>主持人： Ulises Munaylla，Sociedad Nacional de Pesqueria（渔业协会）</p> <p>演讲者： Tony Nowell，工商咨询理事会新西兰分会 / Valadenz 有限公司 Ken Ash，经济合作与发展组织 Alejandro Flores Nava，世界粮农组织 Maximo Torero，国际食品政策研究院市场、贸易与机构处处长</p>
13:00-14:30	<p>午餐</p>
14:30-15:45	<p>第四分会：推动亚太地区的中小微企业现代化</p> <p>绿色微小中企业、创新、生产力发展、国际化</p> <p>主持人： Juan Carlos Mathews，太平洋大学</p> <p>演讲者： Joaquim Tres，美洲开发银行 Johannes Dobinger，联合国工业发展组织 David Morfesi，阿德莱德大学</p>
15:45-16:00	<p>休息时间</p>
16:00-17:30	<p>第五分会：推动区域经济一体化与经济增长</p> <p>通过区域经济一体化推动亚太地区经济发展的新方法，茂物宣言，增长战略，互联互通、供应链与贸易便捷化</p> <p>主持人： Julio Chan Sanchez，秘鲁外贸与旅游部</p> <p>演讲者： Pablo De la Flor，Banco de Credito del Peru（秘鲁信贷银行） Javier Paulinich Velarde 大使，秘鲁外务部经济事务总干事 Henry Tricks，经济学家 Ken Ash，经济合作与发展组织 Alan Oxley 大使，澳大利亚 APEC 研究中心</p>
17:30-17:45	<p>闭幕总结</p> <p>Eduardo Pedrosa，秘书长，太平洋经济合作理事会</p>
19:00-21:00	<p>欢迎酒会和文化活动</p>

2016 年 APEC 项目资助标准

供参考
秘鲁提交

非正式高官会，秘鲁利马，2015 年 12 月 10 日

依照各国领导人会议和部长会议做出的指示，在对 2016 年 APEC 资助项目进行评估时，首要考虑资助能力建设型活动项目并以发展经济能力为侧重点。项目资助的优先顺序请参照下表。下表中所述的原则将适用于对所有 APEC 资助项目进行的优先排序。

等级 1：通过自由与开放贸易和投资促进区域经济一体化存在直接关系的项目 其具体包括与以下方面相关的项目：

- 支持多边贸易体制和茂物目标
- 贸易和投资便利化和自由化，包括公私合作制（PPPs）的基础设施开发等
- 下一代贸易与投资问题
- 经商便利度
- 服务贸易，包括执行 APEC 服务贸易合作框架
- 区域一体化倡议，包括 APEC 对于实现亚太自由贸易协定贡献的北京路线图
- 中小微企业现代化和全球价值链切入，包括推动绿色增长和绿色工作的工业，长滩岛中小微企业全球化行动议题以及怡朗倡议
- 粮食生产、加工、供应链和贸易，包括粮食安全、可持续发展型农业、各项活动的可持续性以及农村开发、技术合作与新科技，以及 2020 年粮食安全路线图以及粮食安全商业计划的执行
- 以经济增长为目的的与海洋相关议题；包括蓝色经济；渔业；以及水产养殖；粮食安全和蓝色经济行动计划的执行；海洋和海岸资源保护与可持续发展，包括海漂垃圾的清除。
- 人力资本开发，包括推动贸易和投资议程中有关能力建设的战略计划的执行，教育合作，技能开发，科学和技术以及能力建设，以满足区域人力市场需求的教育和培训，年轻人就业
- 全球价值链（GVs）蓝图中列示的活动
- 供应链互联互通

秘鲁外交部副部长开幕词

秘鲁提交

非正式高官会，秘鲁利马，2015 年 12 月 10 日

秘鲁外交部副部长 Juliop Eduardo Martinetti Macedo 大使开幕词

2015 年 12 月 10 日

尊敬的各位同事，

首先，我希望借此机会向菲律宾在主持 2015 年 APEC 峰会期间对我方代表队给予的热情招待以及其在该年中为 APEC 做出的卓越贡献致以诚挚的谢意。

同时，请允许我向您保证在 APEC 议程中最为重要的议题在 2016 年仍将获得一如既往的支持与关注。

正如在马尼拉的声明，2016 年亚太经济合作组织的中心主题是“高质量增长和人类发展”。

本主题的建立基础是秘鲁 2008 年主持亚太峰会的会议议程以及亚太经济合作组织本年中取得的突出成绩，尤其是“亚太经济合作组织对于加强高质量发展的新战略”。此外，该主题也提醒我们在以量化术语测量经济增长的同时更需要通过共同努力来推动和改善社会和人类福祉。该主题要求我们为寻求机会和做出正确的选择以建立和享受成长、发展和繁荣。

因此，2016 年的重点议题将集中在以下四个主要方面：

首先，我们将继续推动区域经济一体化与高质量增长。秘鲁鼓励准时完成有关亚太自由贸易区的集体战略研究工作。我们同时也计划在茂物目标中期评估结果基础之上进行进一步的反思。在履行我方领导人做出的各项承诺外，我们将继续推动和执行亚太经济合作组织的高质量增长策略以及该组织的互联互通蓝图和服务合作框架。

第二，秘鲁在全球粮食安全方面所做出的历史贡献，尤其是在马铃薯、玉米、藜麦和鱼类等高营养食品方面的突出贡献均阐述了秘鲁在可持续发展、市场准入、投资和能力建设方面给予亚太经济合作组织粮食市场的高度重视。

第三，我们将会继续开发 21 世纪的人力资本以确保我们的经济能够在竞争相当激烈的全球市场中实现质的飞跃。以科技、创新和人才为中心的全球市场竞争将需要受过良好教育和经过合理培训的高度专业化劳动力。

我们相信为抓住 21 世纪的机遇，亚太经济合作组织应加速经济合作以开启、吸引和开发人力的天赋和技能来满足人力需求并进而维持本地区的经济活力。

出于此原因，我们期望通过经济和技术合作来执行亚太经济合作组织的能力建构政策。因为秘鲁负责此等重要举措协商的领导工作，我们将会于 2016 年的亚太经济合作组织的议程中继续以人力资本开发为重点并将主持亚太经济合作组织第 6 届教育部长级会议。

最后，我们计划拓展亚太经济合作组织在这一年中所取得的丰硕成果，以促进亚太经济合作组织中小微企业现代化进程。我们承诺将执行长滩岛行动议程和宿务岛行动计划以支持这些企业在全价值链中的一体化进程和普惠包容性。此外，我们将切实执行绿色中小微企业发展、基础设施改善以及新科技技术应用等方面的倡议，进而为这些企业创造欣欣向荣的发展环境。

在亚太经济合作组织和其他专业机构之间经验共享基础之上，秘鲁将一如既往的在各个方面向女性授予更多的权力。我们将会继续在四个重点议题内将妇女问题作为交叉科目来推进。

我相信，在我们的共同合作下，亚太经济合作组织将会在 2016 年取得更大的成就。我相信我们会在这一年创造一些值得回忆的经历，也将更好的理解秘鲁的伟大抱负和未来发展潜力。

同时，我也希望您能借此机会游览利马市和秘鲁的其他城市来体验我们丰富的文化和品尝精美独特的美食。

谢谢，欢迎来到秘鲁。

- 结构性改革，包括克服“中等收入陷阱”（MIT）和落实《APEC 新结构性改革议程》
- 金融一体化；财政透明和财务弹性；执行宿务行动计划
- 互联互通，包括执行《亚太经合组织互联互通蓝图》中加强硬件、软件和人员交往互联互通
- 标准化、一致性评估、技术规定、监管合作和法规调和，包括良好监管惯例
- 知识产权的保护与实施，包括商业机密
- 互联网经济，包括执行《亚太经合组织促进互联网经济合作倡议》
- 信息技术与电子经济，包括电子商务、信息通信技术基础设施和数字化贸易
- 旅游便利化活动，以促进人员流动，绿色旅游
- 建设可持续和有活力的大家庭
- 紧急预案和灾害管理，包括与资本、产品、服务和人员有效转移的活动，执行《APEC 减少灾害风险框架》

等级 2：直接支持 APEC 推动质量升级战略的项目
包括与执行 APEC 推动质量升级战略相关的项目：

平衡增长

- 宏观经济政策协调与信息共享
- 金融市场（包括金融监管体制和资本市场开发），金融包容性和确保财政的长期可持续性

包容性增长

- 女性与经济（与等级 1 中的重点议题相关亦可）
- 包含残疾人的经济
- 与降低 APEC 经济体成员之间经济差异相关的议题，以及与帮助成员参与到区域贸易和投资自由化机遇中相关的议题
- 与处理全球化社会层面相关的活动 / 议题，包括健康与性别、老龄化人口和弱势群体

可持续性增长

- 能源效率、能源安全和能源可恢复性，包括低碳技术和替代性能源的开发
- 城镇化对增长的影响，包括智能城市和生动友好合作
- 能源节约、能源可持续性管理以及自然资源的恢复活动，包括土地、水、森林等；打击非法砍伐树木贸易活动
- 采矿业的可持续发展
- 通过可持续增长保障生命质量的相关活动和议题

安全性增长

- 反恐
- 通过降低灾害风险来加强针对天灾的可恢复性能力来实现推动安全性增长
- 健康和健康系统，包括执行 2020 年健康亚太的目标
- 反腐败、善政廉政和公务透明，包括反洗钱活动

创新性增长

- 创新政策与研究合作，包括利用科技创造美好未来
- 科学技术与信息通讯技术在灾害防范、降低风险、应对灾后恢复与在搜救活动合作等方面的运用

等级 3：与领导人会议和部长会议提出的其他重点议题相关联，但与通过自由和开放贸易和投资来推动区域经济一体化不存在紧密联系的项目
其包括与以下内容相关的项目：

- 青年合作
- 与等级 1 和等级 2 项目不存在直接联系的其他相关议题 / 活动

附注：

跨领域议题：

所有项目均应适用于通过经济技术合作来实现亚太能力构建的政策，尤其是该政策的运营原则，并且项目必须尽可能的推动以下方面的发展：

- 区域经济一体化；
- 人力资本开发；
- 创建 APEC 经济体之间联系；
- 性别平等；
- 参与 APEC、APEC 工商咨询理事会、私营部门和其他多边组织的相关活动；
- 跨年度能力建设；
- 以及能力构建模式和活动。

（虽然项目中对于这些方法的采用不会影响其优先级排序）

当在同一优先等级内，收到的项目数量超出可资助额度的情况下，将依据对其等级目标的贡献程度决定项目的优先等级（以及对进一步深化通过自由开放贸易与投资促进经济一体化的 APEC 目标的贡献）。

三个新 APEC 支持基金子基金 使用指导原则（中方发起）

1. 本文件陈述了以下三个新建立的 ASF（APEC 支持基金）子基金的管理安排和资助标准：

- 亚太自由贸易区和全球价值链（FTAAP 和 GVCs）子基金；
- 经济创新发展、改革与增长（IERG）子基金；以及
- 互联互通子基金。

背景

2. 2014 年 11 月，APEC 领导人在 APEC 第 22 次领导人非正式会议上签署了领导人宣言，其中包括四个附件：

- 《亚太经合组织推动实现亚太自贸区北京路线图》；
- 亚太经合组织推动全球价值链发展合作战略蓝图》；
- 《亚太经合组织经济创新发展、改革与增长共识》；
- 《亚太经合组织互联互通蓝图（2015-2025）》，并一致同意在这些领域内为 APEC 做出贡献。

3. 为向以上所述宣言的执行过程提供财务支持，中国提议成立三个 ASF 子基金。此三个子基金将在未来的五年内将投入 6,500,000 美元的财务支持（其中 3,000,000 美元用于对亚太自由贸易区和全球价值链（FTAAP 和 GVCs）子基金；2,000,000 美元用于经济创新发展、改革与增长子基金；另外的 1,500,000 美元用于互联互通子基金）。该提案于 2015 年 5 月在菲律宾长滩岛提交给高官会审议并获得一致通过（1015/SOM2/012）。

三个子基金的管理

4. APEC 秘书处将负责三个子基金的管理工作。由相关的委员会依照资助标准评估并批准的项目将会被列入特定子基金的申请清单。在委员会完成项目的优先性排序之后，APEC 秘书处将会计算当前子基金可用余额能够资助的项目数量。完成计算之后，APEC 秘书将会依照正常项目的审批程序向预算与管理委员会（BMC）提出建议以获得批准。有关项目提交和批准程序请参照 APEC 项目指导手册第 18 页。具体信息请参照以下网页：

<http://www.apec.org/Projects/Forms-and-Resources.aspx>

5. 三个子基金的正式运行预计从 2016 年 APEC 基金第一期申请中生效。概念性文件和完整的方案提交将会依照 APEC 资助议题的时间表进行。详细信息请参照以下网页：

<http://www.apec.org/Projects/Applying-for-Funds.aspx>

三个子基金申请指南

[FTAAP 和 GVCs 子基金申请指南](#)

6. FTAAP 和 GVCs 子基金由贸易与投资委员会（CTI）负责监管。APEC 任一经济体、工作组均可申请该子基金。在申请时，应提交符合子基金资助范围和 APEC 资助准则要求的项目概念文件。虽然如此，贸易与投资委员会（CTI）的背书将会是项目是否获得子基金资助的决定性因素。

7. 贸易与投资委员会将在闭会期间经由 APEC 秘书处指定给贸易与投资委员会的项目主任的协调评定概念文件的获资助资格。此过程将会在一定的时间框架内完成以便相关的概念文件能够在 APEC 秘书处规定的截止时间之前提交。

8. 由 APEC 工作组而非贸易与投资委员会提交的项目概念文件首先需要获得发起工作组的背书和共同支持方，并在规定的截止时间之前提交给贸易与投资委员会审核。未能依照此规定申请的项目概念文件一律不予处理。贸易与投资委员会（CTI）发起的项目概念文件同样需要在其规定的截止日期之前提交审核。

9. 贸易与投资委员会项目主任将会汇总收到的所有项目概念文件并以单边沟通的方式将其提交给贸易与投资委员会做背书。贸易与投资委员会成员（包括其子机构，如亚太自贸区主席之友工作组或全球价值链主席之友工作组）将针对其做出的决定提供相关的评语（如有）。

10. 被确定符合资助标准的项目概念文件将会被正式的提交给相应的 APEC 秘书处项目主任并被作为 FTAAP 与 GVCs 子基金的候选项目。之后，进行常规的 APEC 项目批准程序。

11. 被确定不符合资助标准的项目将无法获得该项子基金的资助。尽管如此，此等项目仍可提交给相应的 APEC 项目主任以申请其他的 APEC 项目基金资助。但获得其他基金支出的前提条件仍然是项目需符合其相应的资助标准。

12. 贸易与投资委员会以及其亚太自贸区主席之友工作组和全球价值链主席之友工作组可向能够获得 FTAAP 与 GVCs 子基金资助的项目方案提出相关建议。在项目执行过程中，项目监管人应将贸易与投资委员会和其两个主席之友工作组建议的内容融入到项目之中。项目执行结果应告知贸易与投资委员会和其两个主席之友工作组。可视情况决定告知其中一个主席之友工作组。

[经济创新发展、改革与增长（IERG）子基金和互联互通子基金的申请指南](#)

13. 高官会经济与技术合作管理委员会（以下简称为“SCE”）负责 IERG 子基金和互联互通子基金的监管工作。APEC 任一经济体、工作组均可申请此子基金。在申请时，应提交符合子基金资助范围和 APEC 资助准则要求的项目概念文件。虽然如此，SCE 的背书将会是项目是否获得子基金资助的决定性因素。

14. SCE 将在闭会期间经由 APEC 秘书处指定给 SCE 的项目主任的协调评定概念文件的获资助资格。此过程将会在一定的时间框架内完成，以便相关的概念文件能够在 APEC 秘书处规定的截止时间之前提交。

15. 由 APEC 工作组而非 SCE 提交的项目概念文件首先需要获得发起工作组的背书和共同支持方，并在规定的截止时间之前提交给 SCE 审核。未能依照此规定申请的项目概念文件一律不予处理。SCE 发起的项目概念文件同样需要在 SCE 规定的截止日期之前提交给 SCE 审核。

16. SCE 项目主任将会汇总收到的所有项目概念文件并以单边沟通的方式将其提交给 SCE 做背书。SCE 成员（包括其子机构）将针对其做出的决定提供相关的评语（如有）。

17. 被确定符合资助标准的项目概念文件将会被正式提交给相应的 APEC 秘书处项目主任，并被作为 IERG 或互联互通子基金的候选项目。之后，进行常规的 APEC 项目批准程序。

18. 被确定不符合资助标准的项目将无法获得该项子基金的资助。尽管如此，此类项目仍可提交给相应的 APEC 项目主任以申请其他的 APEC 项目基金资助。但获得其他基金资助的前提条件仍然是项目需符合其相应的资助标准。

19. SCE 可向能够获得 IERG 或互联互通子基金资助的项目方案提出相关建议。在项目执行过程中，项目监管人应将 SCE 建议的内容融入到项目之中。项目执行结果应告知给 SCE。

子基金的资助标准

[FTAAP 和 GVCs 子基金的资助标准](#)

20. 单个项目申请获得 FTAAP 和 GVCs 子基金资助上限为 150,000 美元。所有获得 APEC 支持基金和子基金资助的项目都必须使发展中国家受益。

21. 根据 2014 年 APEC 第 22 次领导人非正式会议通过的《亚太经合组织推动实现亚太自贸区北京路线图》和《亚太经合组织推动全球价值链发展合作战略蓝图》中做出的指示（2014 年 APEC 领导人宣言附件 1 和 2），FTAAP 和 GVCs 子基金的资助标准将与上所述文件中的倡议和指南一致。

22. 因此，在考虑项目是否符合 FTAAP 和 GVCs 子基金资助标准时，项目必须：

A 为实现亚太自由贸易区做出贡献，即其应支持以下四个被视为亚太自由贸易区（FTAAP）潜在构建模块的倡议中的任何一项倡议：

- 启动实现亚太自贸区有关问题的联合战略研究；
- 通过推进亚太经合组织自贸区信息交流机制，提高现有自贸区透明度；
- 在第二期亚太自贸区能力建设行动计划框架下继续开展能力建设活动；
- 加快“边界上”贸易自由化和便利化努力，改善“边界后”商业环境，促进“跨边界”区域互联互通；和 / 或

¹ 依照三个子基金的创建协议，三个子基金供款总额的 2% 将会在 APEC 秘书收到相关款项之后转入秘书的账户中以作为子基金管理费。

B 依照以下 10 个指导方针中的一个或多个促进全球价值链的发展与合作：

- 应对影响全球价值链发展的贸易投资壁垒；
- 启动亚太经合组织全球价值链数据统计合作；
- 认识服务贸易在全球价值链中的关键作用；
- 使发展中经济体更好地参与全球价值链；
- 帮助中小企业从全球价值链中获益；
- 改善投资环境；
- 采取有效的贸易便利化措施；
- 增强全球价值链的韧性；
- 鼓励公私合作促进全球价值链发展；以及
- 加强与其他利益相关方关于全球价值链的合作。

23. 上述资助标准适用于评估那些申请 FTAAP 和 GVCs 子基金资助的项目概念文件是否具备资助资格。

IERG 子基金的资助标准

24. 单个项目申请获得 IERG 子基金资助上限为 150,000 美元。所有获得 APEC 支持基金和子基金资助的项目都必须使发展中国家受益。

25. 根据 2014 年 APEC 第 22 次领导人非正式会议通过的《亚太经合组织经济创新发展、改革与增长共识》中做出的指示（2014 年 APEC 领导人宣言附件 3），IERG 子基金的资助标准将与以上所述文件中做出的倡议和指南一致。

26. 因此，在考虑项目是否符合 IERG 子基金资助标准时，项目必须对以下优先议题中的至少一项做出贡献：

- 跨越“中等收入陷阱”；
- 落实《亚太经合组织促进互联网经济合作倡议》；
- 落实《亚太经合组织城镇化伙伴关系合作倡议》，包括在亚太经济体内建立可持续性城市合作网络、组织可持续性城市发展论坛和政策对话以及执行能够推动可持续性城市化目标的相关项目；
- 落实 APEC 蓝色经济合作倡议；
- 落实《健康亚太 2020》倡议；
- 实行有助于促进经验分享、政策对话、能力建设和务实合作的相关项目，和直接对《亚太经合组织经济创新发展、改革与增长共识》做出贡献的项目，以及主要使发展中国家受益的项目。

27. 上述资助标准适用于评估那些申请 IERG 子基金资助的项目概念文件是否具备资助资格。

互联互通子基金的资助标准

28. 单个项目申请获得互联互通子基金资助上限为 150,000 美元。所有获得 APEC 支持基金和子基金资助的项目都必须使发展中国家受益。

29. 根据 2014 年 APEC 第 22 次领导人非正式会议通过的《亚太经合组织互联互通蓝图（2015-2025）》中做出的指示（2014 年 APEC 领导人宣言附件 4），互联互通子基金的资助标准将与以述文件中规定的优先发展领域一致。

30. 因此，在考虑项目是否符合互联互通子基金资助标准时，项目必须对以下方面的内容做出贡献：

- 硬件联通，侧重于通过公私伙伴关系（PPPs）和建立公私伙伴关系中心的区域网络解决基础设施融资瓶颈；
- 软件联通，包括：解决贸易便利化、结构和规制改革、交通及物流便利化、海关和边境管理机构现代化、规制一致性与相互适用、自身政策与现有国际条约一致化、安全可靠的信息通信技术和商务环境等议题。
- 人员交往互联互通，包括便利人员跨境流动和创新理念交流，比如商务旅行、跨境教育、旅游便利化和专业技术人才流动等。
- 监管、评估和审议《亚太经合组织互联互通蓝图（2015-2025）》的执行情况。
- 与亚太经合组织互联互通蓝图（2015-2025）中确定的原则和目标保持一致的信息 / 最佳时间共享，能力建设和政策对话等。

31. 上述资助标准适用于评估那些申请互通互联子基金资助的项目概念文件是否具备资助资格。



2016 年 APEC 移师秘鲁

三大焦点议题与今年高度一致

央视新闻客户端 央视新闻 2015 年 11 月 19 日 19:41

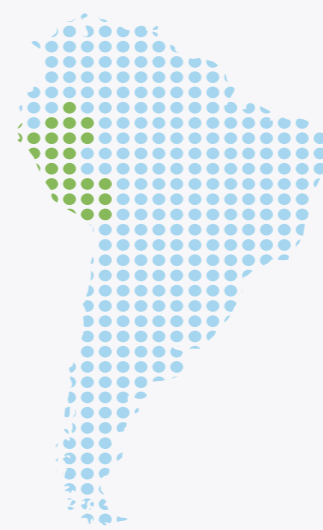
今年 APEC 会议已经正式结束，明年将移师南美秘鲁首都利马举行。明年秘鲁 APEC 的主题是“高质量的增长和人类发展”，在这一主题之下，又有四大焦点议题，包括区域经济一体化、中小企业现代化、人力资本发展、粮食市场发展，其中前三个议题与马尼拉 APEC 议题完全一致。

本台记者润锋认为，产生这种重合性主要有两个原因：

首先，东道主对焦点议题的选择有优先权，秘鲁和菲律宾都是发展中国家，因此在发展中很多问题是相似的，所以他们都选择了相似的问题。

第二，APEC 是非强迫性的经济合作论坛，就大家关心的问题探讨，但不会达成任何协议，正因如此，很多焦点议题，如区域一体化，都会持续讨论下去。

（央视新闻客户端）



Quality Growth and Human Development

Priorities:

1. Advancing Regional Economic Integration and Quality Growth: Free Trade Area of the Asia-Pacific (FTAAP); Bogor Goals; Global Value Chains; Trade Facilitation;
2. Enhancing the Regional Food Market: Sustainability; Market Access; Investment and Infrastructure; Food production and trade related Services
3. Towards the Modernization of MSMEs in the Asia-Pacific: Promoting Innovation and SMEs connectivity; Moving forward to integration and development through productive infrastructure; Green SMEs integration into global value chains; Internationalization of MSMEs and their insertion in global value chains;
4. Developing Human Capital: Higher and Technical Education; Employability & Skills for work; Educational, Scientific, Technological and innovative capabilities

Draft Agenda

Purpose: Consideration
Submitted by: Peru

Informal Senior Officials' Meeting
Lima, Peru
11 December 2015

ASIA-PACIFIC ECONOMIC COOPERATION INFORMAL SENIOR OFFICIALS' MEETING

11 December 2015
Lima, Peru

DRAFT AGENDA

Venue: JW Marriott Hotel, Malecón de la Reserva 615, Lima, Peru

MORNING SESSION

Opening Remarks (09:00-09:15)

- Ambassador Luis Quesada, incoming APEC 2016 SOM Chair, to open the meeting

Session I: Implementing the Manila Mandate (09:15-10:30)

Implementing in 2016 the directions and tasks set forth by APEC Leaders and Ministers in Manila

Discussion Points

- 1.1. Outgoing SOM Chair to report on the APEC 2015 achievements based on the APEC 2014 Tasking Statement
- 1.2. SOM to consider the directions and tasks provided in Manila by APEC Leaders and Ministers for action in 2016 and beyond, and to discuss the APEC 2015 Tasking Statement

Desired Outcomes

- Senior Officials to note the outgoing SOM Chair's report
- A shared view among Senior Officials about how best to implement the key outcomes from 2015 and to provide direction for work in 2016

Senior Officials' Family Photo (10:30-10:35)

Coffee Break (10:35-10:45)

Session II: APEC 2016 Process (10:45-12:15)

The priority areas of APEC for 2016 under the main theme of " Quality Growth and Human Development"

Discussion Points

- 2.1 SOM to exchange views on the ideas presented during the Symposium on 2016 APEC Priorities
- 2.2 SOM to discuss the central theme and key priorities for APEC 2016 in the following areas, as presented by Peru:
 - Theme : Quality Growth and Human Development
 - Priority 1: Advancing Regional Economic Integration and Quality Growth
 - Priority 2: Enhancing the Regional Food Market
 - Priority 3: Towards the Modernization of MSMEs in the Asia - Pacific
 - Priority 4: Developing Human Capital
- 2.3 SOM to exchange views on a Dialogue on the Bogor Goals Assessment
- 2.4 Incoming ABAC Chair to brief SOM on the 2016 ABAC Process and to invite SOM to ABAC1.

Desired Outcomes

- Shared understanding and support of the central theme and the key priorities of the 2016 APEC Process
- A shared understanding on a SOM Dialogue on the Bogor Goals Assessment

Session III: Updates (12:15-12:45)

Discussion Points

- 3.1 ABAC Peru to brief SOM on the "The FTAAP Opportunity" Study carried out by ABAC

Desired Outcomes

- Senior Officials to note ABAC' s study

Senior Officials' Lunch (12:45-14:30)

AFTERNOON SESSION

Session IV: Other Issues (14:30-15:30)

Discussion Points

- 4.1 SOM to review the schedule of meetings for 2016
- 4.2 SOM to discuss the 2016 Project Funding Criteria

Desired Outcomes

- SOM to note the schedule of meetings
- SOM to endorse the 2016 Funding Criteria

Document Classification (15:30-15:35)

Closing remarks (15:35-15:45)

APEC 2015 Tasking Statement (for 2016)

Purpose: Consideration

Submitted by: Peru

Informal Senior Officials' Meeting

Lima, Peru

11 December 2015

APEC 2015 TASKING STATEMENT (for 2016)

Work Program	Actions Required	Responsibility	Timeline
Food Security, Agricultural Technical Cooperation, and Agricultural Biotechnology	<ul style="list-style-type: none"> • Implement the APEC High-Level Policy Dialogue on Food Security and Blue Economy Plan of Action in the areas of resilient oceans and coastal resources, fish loss reduction, and agri-business development, including through ensuring that all citizens have access to food through the reduction in waste and loss along the food value chain, agribusiness promotion, market development, and open and fair trade that enables the integration of small scale farmers, fishers, and fish farmers into global food value chains and improves the livelihood of coastal communities 	OFWG	2016 and beyond
Ocean Cooperation and Blue Economy	<ul style="list-style-type: none"> • Implement the Oceans and Fisheries Working Group (OFWG) Food Security Action Plan and support alongside PPFS the HLPD-FSBE Plan of Action 	Ministers, OFWG, PPFS Economies	2016 and beyond
	<ul style="list-style-type: none"> • Continue to implement the Xiamen Declaration, including the development of environmentally-friendly ocean-related economic activity as an approach to the sustainable management of marine resources; encourage further cross-for a collaboration to advance Blue Economy cooperation 	OFWG	2016
	<ul style="list-style-type: none"> • Pursue cross-sectoral work under the Steering Council in Mainstreaming Ocean-related Issues to synergize efforts in addressing cross-cutting issues of ocean cooperation amongst relevant APEC fora and encourage Chairs and Lead Shepherds of relevant APEC fora and economies to actively participate in the Steering Council meeting and improve coordination and communication. 	OFWG	2016

Program and Speaker Profiles

Submitted by: Peru

Symposium on 2016 APEC Priorities
Lima, Peru
10 December 2015

Symposium on 2016 APEC Priorities

Lima, Peru
10 December 2015

Program

09:00–09:30	Opening Session <i>Ambassador Julio Eduardo Martinetti, Vice Minister of Foreign Affairs of Peru Dr. Alan Bollard, APEC Executive Secretary Juan Francisco Raffo, APEC Business Advisory Council, Peru</i>
09:30–10:00 Speakers	Session I: Contribution of APEC to Quality Growth and Human Development <i>Ambassador Laura Q. Del Rosario, Philippines SOM Chair 2015 Denis Hew, APEC Policy Support Unit María Eugenia Mujica, United Nations Development Program</i>
10:00–11:15 Moderator Speakers	Session II: Human Capital Development Higher and technical education, employability and skills for work, educational and research infrastructure <i>Gustavo Adolfo Yamada, Universidad del Pacífico Andreas Schaal, Organization for Economic Cooperation and Development Fernando Villarán, Universidad Antonio Ruiz de Montoya YoungHwan Kim, President, Institute of APEC Education</i>
11:15–11:30	Coffee Break

11:30–13:00 Moderator Speakers	Session III: Enhancing the APEC Food Market Standards, harmonization, mutual recognition agreements, infrastructure, investment, trade and market access, sustainability, rural development, services <i>Ulises Munaylla, Sociedad Nacional de Pesquería Tony Nowell, ABAC New Zealand/ Valadenz Limited Ken Ash, Organization for Economic Cooperation and Development Alejandro Flores Nava, Food and Agriculture Organization Máximo Torero, Division Director of the Markets, Trade and Institution Division, International Food Policy Research Institute</i>
13:00–14:30	Lunch
14:30–15:45 Moderator Speakers	Session IV: Towards the Modernization of MSMEs in the Asia-Pacific Green MSMEs, innovation, productive development, internationalization Juan Carlos Mathews, Universidad del Pacífico <i>Joaquim Tres, Inter-American Development Bank Johannes Dobinger, United Nations Industrial Development Organization David Morfesi, University of Adelaide</i>
15:45–16:00	Coffee Break
16:00–17:30 Moderator Speakers	Session V: Advancing Regional Economic Integration and Growth New approaches to growth through regional economic integration in the Asia Pacific region, Bogor Goals, growth strategy, connectivity, supply chain and trade facilitation <i>Julio Chan Sánchez, Ministry of Foreign Trade and Tourism of Peru Pablo De la Flor, Banco de Crédito del Perú Ambassador Javier Paulinich Velarde, General Director for Economic Affairs, Ministry of Foreign Affairs of Peru Henry Tricks, The Economist Ken Ash, Organization for Economic Cooperation and Development Ambassador Alan Oxley, Australian APEC Study Center</i>
17:30–17:45	Concluding Summary <i>Eduardo Pedrosa. Secretary General, Pacific Economic Cooperation Council</i>
19:00–21:00	Welcome Reception and Cultural Activity

Opening Remarks by Vice Minister of Foreign Affairs, Peru

Submitted by: Peru

Symposium on 2016 APEC Priorities
Lima, Peru
10 December 2015

Opening Remarks

By

Ambassador Julio Eduardo Martinetti Macedo Vice
Minister of Foreign Affairs, Peru
10 December 2015

Dear colleagues,

I would like to express my gratitude to the Philippines chairmanship of APEC 2015 for the kind hospitality extended to our delegations and their skillful conduction of a very fruitful year, for APEC.

Likewise, allow me to assure you that key issues most relevant to consolidate the APEC agenda, will be given continuity in 2016.

As was announced in Manila, the central theme of APEC 2016 will be "Quality growth and human development" .

This theme builds upon the social agenda that Peru championed in 2008 and the significant results achieved this year, in particular, the "APEC New Strategy for Strengthening Quality Growth" . Besides, this theme reminds us of the need to reinforce our common efforts to promote social and human wellbeing, beyond growth measured in quantitative terms. It leads us to look into opportunities and choices for our people to create and enjoy growth, development and prosperity.

Accordingly, the priority agenda for work in 2016 shall revolve around four main clusters:

Firstly, we will continue Advancing Regional Economic Integration and Growth. Peru encourages the timely completion of the collective strategic study on the Free Trade Area of the Asia-Pacific. We also plan to initiate a deeper process of reflection, based on the results of the midterm assessment of the Bogor goals. We shall drive the implementation of APEC's quality growth strategy, as much as the connectivity blueprint and the services cooperation framework, amongst other commitments to be agreed by our Leaders.

Secondly, Peru's historical contribution to global food security, with highly nutritious species, including potato, maize, quinoa, and fish, explains our focus on the Enhancement of the APEC Food Market, including issues of sustainability, market access, investment and capacity building.

Thirdly, we will also continue Developing Human Capital for the 21st Century to enable our economies to take qualitative leaps in a highly competitive global market, centred on science and technology, innovation and talent, which requires a well-educated, skill- trained and highly specialized work force.

We are convinced that to seize the opportunities of the 21st Century, APEC should hasten its economic cooperation to unlock, engage and develop peoples' talents and skills to match labour demand, thus retaining the dynamism of this region.

For this reason, we look forward to the implementation of the Policy on APEC's Capacity Building through Economic and Technical Cooperation, as Peru led the consensus on this important initiative and we shall also maintain the centrality of human capital development in the 2016 APEC agenda and we will host the Sixth APEC Education Ministerial Meeting.

Finally, we aim to expand the achievements of this fruitful APEC year to further boost the Modernization of APEC Small and Medium Size Enterprises. We are committed to implement the Boracay Action Agenda and the Cebu Action Plan, to support the integration of these enterprises in global value chains and their financial inclusion. Furthermore, we shall undertake initiatives on "green" Micro, Small and Medium Size enterprises, the promotion of infrastructure and the use of new technologies and e- commerce to consolidate a thriving environment for these enterprises.

Building upon experiences shared in APEC and other specialized agencies; Peru has made consistent efforts to empower women in all paths of life. We will continue to address women's issues as a crosscutting subject in our four priority clusters.

I trust that, with your cooperation, in 2016 APEC will also attain meaningful results. I believe we will enjoy a memorable experience and get a better understanding of Peru's aspirations and potential for the future.

I would like to take this chance to encourage you to explore Lima and the other cities of Peru, to experience our rich culture and to taste our exquisite food.

Thank you all and welcome to Peru!



FUNDING CRITERIA FOR ALL APEC – FUNDED PROJECTS IN 2016

Purpose: Consideration
Submitted by: Peru

Informal Senior Officials' Meeting
Lima, Peru
11 December 2015

In assessing APEC - funded projects in 2016, priority should be given – pursuant to instructions from Leaders and Ministers – to funding capacity - building activities, with special emphasis on developing economies, in accordance with the following rankings. These criteria will be used for ranking all of APEC' s funded projects.

Rank 1: Projects that **demonstrate a direct link to promoting regional economic integration** via free and open trade and investment
This includes projects related to:

- Supporting the Multilateral Trading System and the Bogor Goals
- Trade and Investment Facilitation and Liberalization, including infrastructure development and PPPs.
- Next Generation Trade and Investment Issues
- Ease of Doing Business
- Services trade, including the implementation of the APEC Services Cooperation Framework
- Regional Economic Integration initiatives, including the Beijing Roadmap for APEC's Contribution to the Realization of the FTAAP
- MSME Modernization and insertion in GVCs, including industries that promote green growth and green jobs, and implementation of the Boracay Action Agenda to Globalize MSMEs and the Iloilo Initiative
- Food production, processing, supply chain and trade, including food safety and security, sustainable agriculture, sustainability of these activities and rural development, technical cooperation and new technologies, as well as implementation of the Food Security Roadmap Towards 2020 and the Food security Business Plan
- Ocean - related issues for economic growth; including Blue Economy; fisheries; as well as aquaculture and the implementation of the Plan of Action on Food Security and Blue Economy; conservation and sustainable development of marine and coastal resources, including reduction of marine debris.
- Human capital development, including implementation of the Strategic Plan on Capacity Building to Promote the Trade and Investment Agenda, cooperation on education, skills development, science and technology and capacity building, alignment of education and training to the regional labor market needs and youth employment
- Activities outlined in the Global Value Chains (GVCs) Blueprint
- Supply Chain Connectivity
- Structural Reform , including overcoming the MIT and the implementation of the RAASR

- Financial integration, fiscal transparency and financial resiliency and implementation of the Cebu Action Plan
- Connectivity, including the implementation of the APEC Connectivity Blueprint on enhancing physical, institutional and people - to - people connectivity
- Standards, conformity assessment, technical regulations, regulatory cooperation, and regulatory coherence, including good regulatory practices
- Protection and enforcement of intellectual property rights, including trade secrets
- Internet Economy, including the implementation of APEC Initiative of Cooperation to Promote Internet Economy
- Information technology and digital economy, including e - commerce, ICT infrastructure and digital trade
- Travel facilitation for enhancing mobility, green tourism
- Building sustainable and resilient communities
- Emergency preparedness and disaster management, including activities relating to the efficient movement of capital, goods, services and people as well as the implementation of the APEC Disaster Risk Reduction Framework.

Rank 2: Projects that **directly support the APEC Strategy for Strengthening Quality Growth** This includes projects related to the implementation of the APEC's Strategy for Strengthening Quality Growth:

Balanced Growth

- Macro - economic policy coordination and information sharing
- Financial markets (including financial regulatory systems and capital market development), financial inclusion and ensuring long - term fiscal sustainability

Inclusive Growth

- Women and the Economy (if not otherwise related to a Rank 1 Priority issue).
- Inclusion of people in situation of disability in the economy
- Issues related to reducing economic disparities among APEC economies and to help members to participate in the regional trade and investment liberalization opportunities
- Activities/issues related to addressing the social dimension of globalization, including health and gender, aging population and vulnerable groups

Sustainable Growth

- Energy efficiency, energy security and energy resiliency including the development of low carbon technology and alternative energy sources
- Urbanization to growth, including smart city and live - friendly cooperation
- Conservation, sustainable management, and rehabilitation of natural resources, including land, water, forest, among others; combatting illegal logging and associated trade
- Sustainable development in mining
- Activities/issues related to safeguarding the quality of life through sustainable growth

Secure Growth

- Counter terrorism
- Strengthening growth security through disaster risk reduction to enhance resilience against natural calamities.
- Health and health systems, including the implementation of Healthy Asia - Pacific 2020
- Anti - corruption, good governance and transparency, including anti - money laundering activities

Innovative Growth

- Innovation policy and research cooperation, including harnessing new technologies for the future
- Science and technology and ICT approaches in disaster preparedness, risk reduction, response and post - disaster recovery and cooperation in search and rescue

Rank 3: Projects that are **linked to other priorities** identified by Leaders and Ministers but are less closely linked to regional economic integration via free and open trade and investment. This includes projects related to:

- Youth cooperation
- Other related - issues/activities that cannot be directly linked to Rank 1 and Rank 2 projects

NOTES

Cross-cutting issues: All projects should apply the **Policy on APEC's Capacity Building through ECOTECH, in particular its Operational Principles, and maximize:** regional economic integration; developing human capital; building linkage between APEC economies; gender equality; engagement of other APEC fora, ABAC, the private sector and other multilateral organizations; multi-year capacity building opportunities; and the spectrum of capacity building models and activities, although the extent to which projects incorporate these methodologies will not affect their priority ranking.

Prioritisation within a rank: In the event that there are more project proposals than available funding for initiatives within a particular rank, projects will be prioritized in accordance to the degree to which they contribute to its rank's objective (and therefore to the APEC's further objective of the furtherance of the goal of economic integration via free and open trade and investment).

Guidelines and Eligibility Criteria for Accessing Three New ASF Sub-Funds

1. This paper sets out the Overall governance arrangements as well as the eligibility criteria for the three newly established APEC Support Fund (ASF) Sub-Funds:
 - 1) Free Trade Area of the Asia-Pacific and Global Value Chains (FTAAP & GVCs) Sub-Fund;
 - 2) Innovative Development, Economic Reform and Growth (IERG) Sub-Fund; and
 - 3) Connectivity Sub-Fund.

Background

2. In November 2014, APEC Leaders endorsed at the 22nd APEC Economic Leaders' Meeting (AELM) the 4 Annexes of the Leaders' Declaration:
 - 1) the Beijing Roadmap for APEC's Contribution to the Realization of the FTAAP;
 - 2) APEC Strategic Blueprint for Promoting Global Value Chains (GVCs) Development and Cooperation;
 - 3) APEC Accord on Innovative Development, Economic Reform and Growth (IEGR), and
 - 4) APEC Connectivity Blueprint for 2015-2025, and agreed to pursue APEC's work in those fields.
3. With a view to providing financial support for this implementation process, China has proposed the establishment of three new ASF sub-funds, with a contribution of USD 6.5 million in 5 years (3 million to the FTAAP & GVC Sub-Fund, 2 million to the IERG Sub-Fund and 1.5 million to the Connectivity Sub-Fund). The proposal was presented to and endorsed at the 2nd Senior Officials' Meeting in May 2015 in Boracay, Philippines (2015/SOM2/012).

Administration of the Three Sub-Funds

4. The APEC Secretariat will be responsible for the administration of the three sub-funds¹. Projects that have been assessed and endorsed by the relevant committees, according to the eligibility criteria, will be allocated to the list of applications for a certain sub-fund. Following prioritization at the Committee level, the Secretariat will calculate how many of the projects can be funded from the available Sub-Fund balance. It will then make recommendations to the Budget and Management Committee (BMC) for approval as per the usual APEC project approvals. A flow chart on submission and approval processes is in the *Guidebook on APEC Projects* page 18. Refer to <http://www.apec.org/Projects/Forms-and-Resources.aspx>
5. The full operation of the three sub-funds is expected to place from Session 1 of 2016. Submission of concept notes and full proposals are expected to follow the timelines of the APEC funding sessions. Refer to <http://www.apec.org/Projects/Applying-for-Funds.aspx>

¹ Following the established arrangement, 2% of the contributions to the three sub-funds, upon receipt by the Secretariat, will be allocated to the Secretariat Account as a management fee.

Guidelines for Accessing the Three Sub-Funds

Guidelines for Accessing the FTAAP & GVCs Sub-Fund

6. The FTAAP & GVCs Sub-Fund is overseen by the Committee on Trade and Investment (CTI). Any interested economies, from any APEC fora, may apply this sub-fund by submitting project concept notes which fall within the scope of the sub-fund and the ambit of APEC funding criteria. Nevertheless, CTI's endorsement will be the determining factor as to whether a project is eligible to access the sub-fund.
7. The determination of the eligibility of concept notes by CTI is expected to occur intersessionally, coordinated through the Program Director of APEC Secretariat for CTI (*a.k.a.* the CTI PD). The process will be completed within a timeframe to allow compliance with concept note submission deadlines of the APEC Secretariat.
8. Project concept notes originating from APEC fora other than CTI itself will need to secure endorsement and required co-sponsorship from the originating fora first, and then be forwarded to CTI for its consideration by the deadline set by CTI. Any concept note failing to do so will not be accepted. Project concept notes originating from CTI will also need to be submitted to CTI for consideration by the same deadline.
9. The CTI PD will gather all the concept notes received and circulate them to CTI for its endorsement in a single communication. CTI members (or an agreed sub-group of CTI, e.g. the CTI FoTC of FTAAP or the CTI FoTC of GVCs) will assess those concept notes to determine whether the agreed eligibility criteria are met. The CTI (or an agreed sub-group of CTI,) may provide comments, if any, on their determination.
10. The concept notes, of which the eligibility has been positively determined, can be formally submitted to their Program Directors of APEC Secretariat as candidate projects for the FTAAP & GVCs Sub-Fund to go through the normal APEC project approval processes.
11. Those of which the eligibility has been negatively determined, will not be able to apply for the sub-fund. Nevertheless, they can still be submitted to their Program Director of APEC Secretariat to apply for other APEC project funding sources, provided that the requirements of those funding sources are met.
12. CTI and its FoTC on FTAAP and FoTC on GVCs will be given the opportunity to make inputs to the project proposals which are in-principally approved to be funded by the FTAAP & GVCs Sub-Fund. The project overseer should incorporate the inputs from CTI and its two FoTCs during project implementation. The project outcomes will also be shared with CTI and its two FoTCs as appropriate.

Guidelines for Accessing the IERG Sub-Fund and the Connectivity Sub-fund

13. Both the IERG Sub-Fund and the Connectivity Sub-Fund are overseen by the SOM Steering Committee on Economic and Technical Cooperation (SCE). Any interested economies, from any APEC fora, may apply those sub-funds by submitting project concept notes which fall within the scope of the sub-funds and the ambit of APEC funding criteria. Nevertheless, SCE's endorsement will be the determining factor as to whether a project is eligible to access the sub-funds.
14. The determination of the eligibility of concept notes by SCE is expected to occur intersessionally, coordinated through the Program Director of APEC Secretariat for SCE (*a.k.a.* the SCE PD). The process will be completed within a timeframe to allow compliance with concept note submission deadlines of the APEC Secretariat.
15. Project concept notes originating from APEC fora other than SCE itself will need to secure endorsement and required co-sponsorship from the originating fora first, and then be forwarded to SCE for its consideration by the deadline set by SCE. Any concept note fails to do so will not be accepted. Project concept notes originating from SCE will also need to be submitted to SCE for consideration by the same deadline.
16. The SCE PD will gather all the concept notes received and circulate them to SCE for its endorsement in a single communication. SCE members (or an agreed sub-group of SCE) will assess those concept notes to determine whether the agreed eligibility criteria are met. The SCE (or an agreed sub-group of SCE) may provide comments, if any, on their determination.
17. The concept notes, of which the eligibility has been positively determined, can be formally submitted to their Program Directors of APEC Secretariat as candidate projects for the IERG Sub-Fund or the Connectivity Sub-Fund to go through the normal APEC project approval processes.
18. Those of which the eligibility has been negatively determined, will not be able to apply for the two sub-funds. Nevertheless, they can still be submitted to their Program Director of APEC Secretariat to apply for other APEC project funding sources, provided that the requirements of those funding sources are met.
19. SCE will be given the opportunity to make inputs to the project proposals which are in-principally approved to be funded by the IERG Sub-Fund or the Connectivity Sub-Fund. The project overseer should incorporate the inputs from SCE during project implementation. The project outcomes will also be shared with SCE as appropriate.

Sub-Funds Eligibility Criteria

Eligibility Criteria for the FTAAP & GVCs Sub-Fund

20. The funding of a single project apply for the FTAAP & GVCs Sub-Fund is subject to a cap of USD150,000. All projects funded through the APEC Support Fund and its Sub-funds must primarily benefit developing economies.

21. Drawing on the direction set in *the Beijing Roadmap for APEC's Contribution to the Realization of the FTAAP and APEC Strategic Blueprint for Promoting Global Value Chains (GVCs) Development and Cooperation* endorsed at the AELM in 2014 (Annex A and B of the 2014 Leaders' Declaration), the proposed criteria are intended to be in line with the initiatives and guidelines specified in the aforementioned documents.

22. **Therefore, to be considered as eligible for the FTAAP & GVCs Sub-Fund, the project must:**

a) Contribute to the realization of FTAAP by supporting at least one of the following 4 initiatives which are considered as potential building blocks of the FTAAP:

- Carrying out the collective strategic study on issues related to the realization of the FTAAP;
- Increasing transparency of existing and recently concluded RTAs/FTAs by advancing work under the APEC Information Sharing Mechanism on RTAs/FTAs;
- Continuing the capacity building activities in pursuit of the FTAAP under the Action Plan Framework of the 2nd Capacity Building Needs Initiative (CBNI);
- Accelerating "at the border" trade liberalization and facilitation efforts, improving the business environment "behind the border", and enhancing regional connectivity "across the border"; and/or

b) Promote GVC development and cooperation according to one or more of the following 10 guidelines:

- Addressing trade and investment issues that impact GVCs;
- Cooperating on improving statistics related to GVC;
- Realizing the critical role of trade in services within GVC;
- Enabling developing economies to better participate in GVCs;
- Assisting SMEs to benefit from GVCs;
- Improving the investment climate for GCCs development;
- Adopting effective trade facilitation measures;
- Enhancing resiliency of GVCs;
- Encouraging public-private partnerships for GVCs; and
- Strengthening collaboration with other stakeholders on GVCs

23. Project concept notes applying for the FTAAP & GVCs Sub-Fund will be checked against those criteria for their eligibility.

Eligibility Criteria for the IERG Sub-fund

24. The funding of a single project applying for the IERG Sub-Fund is subject to a cap of USD150,000. All projects funded through the APEC Support Fund and its Sub-funds must primarily benefit developing economies.

25. Drawing on the direction set in *the APEC Accord on Innovative Development, Economic Reform and Growth* endorsed at the AELM in 2014 (Annex C of the 2014 Leaders' Declaration), the proposed criteria are intended to be in line with the priority areas specified in the aforementioned document

26. Therefore, to be considered as eligible for the IERG Sub-Fund, the project must contribute to at least one of the following priorities:

- Overcoming the Middle-Income Trap;
- Implementing the APEC Initiative of Cooperation to Promote Internet Economy;
- Implementing the APEC Cooperation Initiative for Jointly Establishing an Asia-Pacific Urbanization Partnership, including establishing a cooperative network of sustainable cities in APEC economies, organizing sustainable urban development forums and policy dialogues, and carrying forward relevant projects that advance sustainable urbanization goals;
- Implementing APEC Initiatives on Blue Economy cooperation;
- Implementing the "Healthy Asia-Pacific 2020" initiative;
- Carrying out relevant that promote experience sharing, policy dialogue, capacity building and practical cooperation, directly contribute to the goals and priorities of the APEC Accord on Innovative Development, Economic Reform and Growth, and primarily benefit developing economies.

27. Project concept notes applying for the IERG Sub-Fund will be checked against those criteria for their eligibility.

Eligibility Criteria for the Connectivity Sub-Fund

28. The funding of a single project apply for the Connectivity Sub-Fund is subject to a cap of USD 150,000. All projects funded through the APEC Support Fund and its Sub-funds must primarily benefit developing economies.

29. Drawing on the direction set in *the APEC Connectivity Blueprint for 2015-2025* endorsed at the AELM in 2014 (Annex D of the 2014 Leaders' Declaration), the proposed criteria are intended to be in line with the priority areas specified in the aforementioned document.

30. Therefore, to be considered as eligible for the Connectivity Sub-Fund, the project must contribute to at least support projects in following aspects:

- Physical Connectivity, with a focus on resolving infrastructure financing bottleneck through public private partnerships (PPP)s and developing a regional network of PPP centers.
- Institutional Connectivity, including by addressing issues of trade facilitation, structural and regulatory reforms as well as transport and logistics facilitation, modernization of customs and border agencies, regulatory coherence and the international interoperability, harmonization of local policies with existing international agreements, the application of secure and trusted ICT and e-commerce environment.
- People-to-People Connectivity, including facilitating the movement of people across borders, and the exchange of innovative ideas, such as issues of business travel mobility, cross-border education, tourism facilitation, and skilled labor mobility.
- Monitoring, Evaluation and Review on implementation of the APEC Connectivity Blueprint for 2015-2025.
- Information/best practices sharing, capacity building and policy dialogues that are consistent with the principles and targets set by the APEC Connectivity Blueprint for 2015-2025.

31. Project concept notes applying for the Connectivity Sub-Fund will be checked against those criteria for their eligibility.

APEC Peru 2016 eyes quality growth, human development

Source: Xinhua 2016-01-30 10:04:23

LIMA, Jan. 29 (Xinhua) -- Quality growth and human development will be the theme of the Asia-Pacific Economic Cooperation (APEC) Summit to be held here in November, Peruvian President Ollanta Humala said here Friday.

In a speech to launch preparations for the summit, Humala said the meeting aims to help create an APEC free-trade zone, strengthen the food market, improve food safety and modernize micro, small and medium enterprises.

Humala said that although Peru is facing uncertainty due to economic difficulties and decreasing commodity prices, it will maintain a coherent, prudent and solid macro-economic policy to pursue sustained growth.

The next government would fully support the APEC meeting, no matter which party wins the presidential elections in July, said Pedro Cateriano, president of Peru's Council of Ministers.

Since Peru joined APEC in 1998, the group has helped the country forge tighter links with other nations and reach new free-trade agreements, said Magali Silva, minister of foreign trade and tourism.

As the host country of APEC 2016, Peru will hold around 180 meetings, gathering ministers, entrepreneurs and activists to discuss a host of important topics.

Editor: Tian Shaohui

http://news.xinhuanet.com/english/2016-01/30/c_135059151.htm